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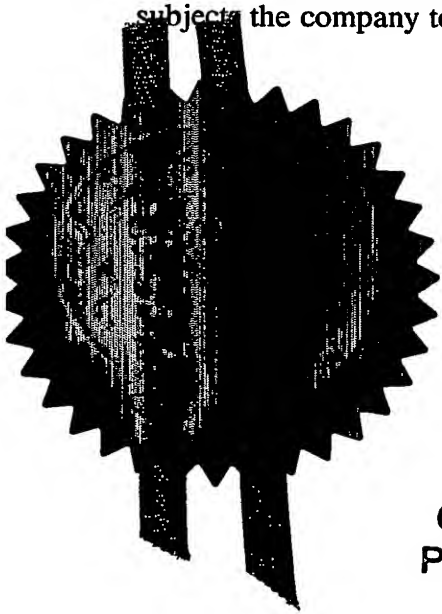
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26 JUN 02 E728545-5 002882  
P01/7700 0.00-0214645.4

## Request for grant of a patent

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The Patent Office

Concept House  
Cardiff Road  
Newport  
South Wales NP10 8QQ

1. Your reference **TAB/60239/000**

2. Patent application number  
(The Patent Office will fill in this part)

25 JUN 2002

0214645.4

3. Full name, address and postcode of the or of each applicant (underline all surnames)

De La Rue International Limited  
De La Rue House  
Jays Close  
Basingstoke  
Hants. RG22 4BS

Patents ADP number (if you know it) **08261539002**

If the applicant is a corporate body, give the country/state of its incorporation

United Kingdom

4. Title of the invention

Improvements in Substrates

5. Name of your agent (if you have one)

BOULT WADE TENNANT

"Address for service" in the United Kingdom to which all correspondence should be sent (including the postcode)

VERULAM GARDENS  
70 GRAY'S INN ROAD  
LONDON WC1X 8BT

Patents ADP number (if you know it)

42001

6. If you are declaring priority from one or more earlier patent applications, give the country and the date of filing of the or of each of these earlier applications and (if you know it) the or each application number

Country

Priority application number  
(if you know it)

Date of filing  
(day/month/year)

7. If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application

Number of earlier application

Date of filing  
(day / month / year)

8. Is a statement of inventorship and of right to grant of a patent required in support of this request?

Yes

(Answer 'Yes' if:

- a) any applicant named in part 3 is not an inventor, or
  - b) there is an inventor who is not named as an applicant, or
  - c) any named applicant is a corporate body.
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Description 11

Claim(s) 3

Abstract 0

Drawing(s) 5

as 8

10. If you are also filing any of the following, state how many against each item.

Priority documents 0

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Statement of inventorship and right to grant of a patent (Patents Form 7/77) 0

Request for preliminary examination and search (Patents Form 9/77) 1

Request for substantive examination (Patents Form 10/77) 1

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11

I/We request the grant of a patent on the basis of this application.

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25 June 2002

12. Name and daytime telephone number of person to contact in the United Kingdom Tessa A Bucks  
020 7430 7500

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# IMPROVEMENTS IN SUBSTRATES

5 The invention relates to improvements in methods of making fibrous substrates and in particular to such substrates containing an elongate impermeable element which can be easily verified through an aperture in the substrate.

10 It is generally known to include elongate security elements in security paper, as a security feature. Such elements can be threads, strips or ribbons of, for example, plastics film, metal foil, metallised plastic, metal wire. These security elements are included in the thickness of security  
15 paper to render imitation of documents produced from the paper more difficult. These elements help in the verification of security documents as they render the view of the documents in reflected light different from that in transmitted light. To increase the  
20 security provided by the inclusion of such an elongate element, it is also known to endow the element itself with one or more verifiable properties over and above its presence or absence. Such additional properties include magnetic properties, electrical  
25 conductivities, the ability to absorb x-rays and fluorescence.

30 As a further security feature, it has been found to be particularly advantageous to provide windows in one side of the surface of the paper, which expose such elongate elements at spaced locations. Examples of methods of manufacturing such paper incorporating security elements with or without windows are described below. It should be noted that references  
35 to "windowed thread paper" include windowed paper incorporating any elongate security element.

EP-A-0059056 describes a method of manufacture of windowed thread paper on a cylinder mould paper-making machine. The technique involves embossing the cylinder mould cover and bringing an impermeable  
5 elongate security element into contact with the raised regions of an embossed mould cover, prior to the contact entry point into a vat of aqueous stock. Where the impermeable security element makes intimate contact with the raised regions of the embossing, no  
10 fibre deposition can occur. After the paper is fully formed and couched from the cylinder mould cover, the water is extracted from the wet fibre mat and the paper is passed through a drying process. In the finished paper the contact points are present as  
15 exposed regions which ultimately form windows, visible in reflected light, on one side of a banknote paper.

WO-A-93/08327 describes a method of manufacturing windowed thread paper on a Fourdrinier paper-making  
20 machine. A rotating embedment means, with a modified profile for embossing, is used to drive an impermeable elongate security element into draining paper stock, on a Fourdrinier wire. The profile of the embedment means is such that raised portions are provided which  
25 remain in contact with the security element during the embedment process. Thus, paper fibres are prevented from collecting between the security element and embedment means, such that the security element is subsequently exposed in windowed regions of paper.

30 The aforementioned processes enable paper to be manufactured in which the security element is exposed in windows in one surface of the paper, or in windows in both surfaces at alternating positions, or to form  
35 apertures whereby the windows on the front of the document are in register with those on the back. In order for a user to confirm the security element is

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continuous and running within the paper they must view the paper in transmitted light. However, users frequently tear the paper in the region of the security element to determine its presence, rather than viewing it in transmission. As a result documents containing security elements are commonly prematurely and deliberately damaged.

The object of the present invention is to provide a security substrate incorporating an elongate security element in which the elongate element is very easily verifiable from both sides of the substrate in apertures which extend through the substrate.

The invention therefore provides a substrate having an elongate element partially embedded therein and at least one discrete aperture extending through the fibrous substrate exposing at least a part of the elongate element, wherein at least one edge of the elongate element is exposed in the aperture(s).

The invention also provides a method of making a fibrous substrate having an elongate element partially embedded therein, comprising the steps of providing drainage restriction areas on a porous support surface, depositing fibres on to the porous support surface around the drainage restriction areas to form a first layer, bringing the elongate element to lie in contact with the drainage restriction areas of the support surface, and depositing further paper fibres over the first layer to securely embed segments of the elongate element within the substrate between the drainage restriction areas, said drainage restriction areas being such as to substantially prevent the deposition of fibres thereon before and after the elongate element is laid thereover and to thereby form at least one discrete aperture extending through the

fibrous substrate, wherein at least one edge of the elongate element is exposed in the aperture(s).

5 A preferred embodiment of the present invention will now be described, by way of example only, with reference to the accompanying drawings in which:-

10 Figure 1 is a plan view of a security document made from a substrate according to the present invention;

15 Figures 2 to 7 are cross-sectional side elevations of steps involved in the method of making a fibrous substrate according to the present invention;

Figures 8, 9, and 11 to 13 are plan views of alternative embodiments of the substrate of Figure 1;

20 Figure 10 is a cross sectional side elevation of the substrate of Figure 9;

25 Figure 14 is a plan view of pages cut from the substrate of Figure 1 to be used to provide a booklet; and

Figure 15 is a plan view of a sheet of the substrate made by the present invention to be cut into smaller sheets, one of which is shown in Figure 16.

30 The fibrous substrate 16 according to the present invention is illustrated in Figure 1 and comprises an elongate security element 13 partially embedded within the substrate 16, having one or more apertures 17 extending through the substrate 16 exposing short  
35 lengths of the security element 13. In other embodiments of the invention, for example as shown in Figures 8, 9, 10 and 12, the width and/or the

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positioning of the security element 13 is such that only one edge of the element 13 is exposed in the aperture(s)17.

5           The method of manufacturing a security substrate according to the present invention is illustrated firstly with reference to Figures 2 to 7. A porous support surface, for example in the form of a cylinder  
10       mould cover 10, is produced in a known way. The mould cover 10 has a plurality of drainage restriction regions 12. These can, for example, be provided by  
15       fixing a blinding material to the mould cover 10. The blinding material is typically a metal which is welded to the cylinder mould cover 10 (see Figure 3). Other  
20       suitable blind materials are wax, polymer or any other material which can be securely attached to the cylinder mould cover 10 to prevent drainage of water from fibrous stock 11 and hence fibre deposition. These drainage restriction regions 12 define the shape of the apertures 17 formed in the final substrate 16.

          In a known manner, the cylinder mould cover 10 is rotated in a vat of fibrous stock 11 as illustrated in Figure 2. As it rotates, an elongate security element  
25       13 is brought into contact with the cylinder mould cover 10 below the level of the fibrous stock 11. This means that a layer 14 of fibres has already been deposited onto the cylinder mould cover 10 to form, say, a 40gsm sheet (see Figures 4 and 5). Once the  
30       security element 13 is brought into contact with the drainage restriction regions 12, further fibres 15 are deposited on top of the layer 14 to form the remainder of the substrate 16 to, typically, 80 to 90gsm (see Figures 5 and 6). It should of course be noted that  
35       in packaging applications the substrates used can have much higher grammages, for example in the order of 250gsm.



The positioning of the security element 13, with respect to the drainage restriction regions 12 must be determined in the context of whether the element 13 is to be wholly or partly exposed in the apertures 17 (i.e. one edge or both) and this may be affected by the width of the element 13 also.

The security element 13 may be impermeable, if it is to be exposed along both of its edges as shown in Figure 1. However it may have a permeable portion 30, as shown in Figures 9 and 10, if the element 13 is to have only one edge exposed and the element 13 is relatively wide as compared with the width of the aperture 17. The permeable portion 30 helps to anchor the element 13 within the substrate 16. Alternatively, a layer of adhesive may be provided on the element 13 instead of the permeable portion 30, to assist in anchoring it within the substrate 16.

The security element 13 preferably has a width of at least 0.5mm, and more preferably in the range of 0.5mm to 6mm, and more preferably in the range of 0.5mm to 2mm.

Thus, as mentioned above, a layer of fibres is laid down on the cylinder mould cover-10 prior to the introduction of the security element 13. However, whilst the drainage restriction regions 12 would retain little or no covering of paper fibres before the elongate element 13 is brought into contact therewith, full coverage is obtained in the surrounding areas between the drainage restriction regions 12. Equally, because of the impermeable nature of the elongate element 13 and the drainage restriction regions 12, there is little or no covering of fibres retained over the area occupied by the drainage restriction regions 12, after the element 13

has been brought into contact. During the formation of the apertures 17, some fibres may deposit in the gaps on either side of the elongate element 13 which is narrower than the width of the drainage restriction regions 12 (designated by numeral 19 in Figure 6). However because of the drainage restriction regions 12, the substrate 16 cannot properly form in the region 19. If required, any such unwanted fibres may be removed during subsequent processing steps.

Thus, when the substrate 16 is removed from the cylinder mould cover 10 (see Figure 7), whilst the substrate 16 incorporates the elongate element 13, the elongate element 13 is exposed in apertures 17 extending through the substrate corresponding to the drainage restriction regions 12. Segments 18 of the elongate element 13, between the apertures 17, are wholly embedded within the substrate 16.

In a modification of the present invention, the cylinder mould cover 10 is produced in a known way, using dies to form the wire by embossing to form one or more raised areas, which define the shape of the apertures 17 in the final substrate 16. The peaks of the raised areas are then provided with drainage restriction regions 12 to form the apertures 17.

One preferred material for the element 13 is a PET strip of, say, 50 microns thickness as this would help to maintain the "bulk" of the paper 16 over the windowed region. However, other materials such as OPP, PE or PET with other thicknesses may be used. Typically anything from 12 microns upwards can be used.

Alternative embodiments of the invention is illustrated in Figures 11 and 12, in which the

apertures 17 are used in conjunction with traditional windows 25, as described in EP-A-0059056, EP-A-0229645 and EP-A-0625431, in which neither edge of the security element 13 is exposed. Figure 11 shows both edges of the element 13 exposed in an aperture 17, whilst Figure 12 shows only one of its edges exposed.

The elongate element 13 may be used as a display surface for indicia, for example de-metallised images, holographic images, colour-shifting areas, print or combinations of any or all of these which are highly visible in the apertures 17. The element 13 may include different security features along its length, such that a different feature can be seen in consecutive apertures 17.

When viewed from either side of the substrate 16, the security element 13 itself can be seen in the apertures 17 as a transparent, shiny, coloured or metallised area which may bear indicia, information and/or imagery. More specific examples include the following:

- de-metallised security elements 13, which may comprise areas of substantially removed metal to take advantage of the transparency of the base film and provide a large area of transparency in the aperture 17;
- holographic security elements 13, which could comprise areas of full metal and half-tone screens to provide partial transparency and/or no metal. Under certain viewing conditions, with no metal, a holographic image is still visible in the aperture 17. Coatings, such as ZnS, having a high refractive index may also be used instead of metal as the reflection enhancing layers. These

coatings are essentially transparent;

- 5       - security elements 13 with front to back print registration, in which features are printed which would clearly exhibit Moiré patterns from both front and back if a counterfeit were attempted. Alternatively, such patterns could be produced on a transparent film prior to insertion of the security element 13 into the paper as a security feature itself. The exact reproduction of such patterns are very difficult to mimic;
- 10
- 15       - security elements 13 with different coloured print showing on the front to the back. The print may be on either side of the security element 13 or both on the same side, with one colour hidden by the other on one side but showing through on the other side;
- 20
- 25       - security elements 13 comprising or having coatings of liquid crystal, colourshift, thermochromic, photochromic, and iridescent materials to exhibit colour changes within the apertures;
- 30       - security elements 13 comprising or having coatings of luminescent or magnetic materials;
- 35       - security embossing of a transparent film forming the base of the security element 13 with a security design (e.g. a latent images such as those disclosed in EP-A-433330) created during the printing process. These may be blind embossed to produce a tactile/visible feature or could include printing inks to further enhance visibility;

- security elements 13 have a matt coating of a similar colour to the substrate, such that it is only visible in the apertures 17 or any windows 25;

5

The paper 16 described above can be cut and printed to make all forms of documents, including security documents such as banknotes, cheques, travellers cheques, identity cards, passports, bonds etc or non-security documents such as stationary, labels etc.

10

The positioning of the apertures 17, and therefore the design of the drainage restriction regions 12, can be such that when a continuous sheet of fibrous substrate 16 is finished and cut to form discrete sheets, each discrete sheet may have one or a plurality of apertures 17 therein. Within each aperture 17 the security element 13 can clearly be seen extending from one side to the other of the apertures 17 (in the machine direction of the paper). The apertures 17 may be circular as illustrated in the accompanying drawings, or any other shape, for example as shown in Figure 13. The apertures 17 may also define characterising information, such as indicia, logos or the like. The shape of the apertures 17 may also relate to information elsewhere on the document, such as print and/or security devices.

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Where sheets made from the substrate 16 are intended to be used in the form of a booklet, for example as pages of a passport, the sheets may be cut to include a single aperture 17 on each sheet, but at staggered positions. When the sheets are bound together in the booklet, flicking through the pages at a reasonable speed would give the impression of an

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aperture moving and therefore provides a simple form of verification. This is illustrated in Figure 14 with three pages 20, 21 and 22, which will be bound together along the left hand edges. Any missing pages would clearly show the aperture movement out of sequence and therefore provide an anti-tamper feature.

The security element 13 may also be used as part of a self authenticating feature, such as those described in EP-A-0930979 or EP-A-0256176.

The substrate 16 may also be cut in a manner which provides half an aperture 17 along one or more edges of a discrete sheet cut from the substrate 16. As shown in Figure 15 substrate 16 can be cut into two separate discrete sheets 23,24 along the line XX. As this cutting line passes through the aperture 17 and elongate element 13, a notch will be left in the edge of each of the sheets 23,24 in which an end of the elongate element 13 is exposed, as shown in Figure 16.

CLAIMS:-

1. A substrate having an elongate element partially embedded therein and at least one discrete aperture  
5 extending through the fibrous substrate exposing at least a part of the elongate element, wherein at least one edge of the elongate element is exposed in the aperture(s).
  - 10 2. A substrate as claimed in claim 1 in which the elongate element bears indicia, images or information.
  3. A substrate as claimed in claim 1 or claim 2 in which the elongate element is wholly or partially  
15 metallised.
  4. A substrate as claimed in any one of the preceding claims in which the elongate element bears one or more holographic images.  
20
  5. A substrate as claimed in any one of the preceding claims in which of the elongate element has at least one colourshift areas.
  - 25 6. A substrate as claimed in any one of the preceding claims in which the elongate element has at least one security embossing.
  7. A substrate as claimed in any one of the  
30 preceding claims in which the elongate element is printed on one or both sides.
  8. A substrate as claimed in any one of the preceding claims in which the elongate element bears a  
35 liquid crystal material.
  9. A substrate as claimed in any one of the
-

preceding claims in which at least another part of the elongate element is exposed in on or more windows in at least one surface of the substrate.

- 5      10. A document made from a fibrous substrate according to any one of claims 1 to 9 comprising at least one aperture.
- 10      11. A document as claimed in claim 10 comprising a plurality of apertures.
- 15      12. A document as claimed in claim 10 or claim 11 comprising a security document, such as a banknote, cheque, travellers cheque, identity card, passport, bond or the like.
13. A document as claimed in claim 10 or claim 11 in which the document is a non-security document, such as an item of stationery, a label or the like.
14. A document comprising a plurality of sheets made from a fibrous substrate, according to any one of claims 1 to 9, in which each sheet comprises an aperture in an identical location.
15. A document comprising a plurality of sheets made from a fibrous substrate according to any one of claims 1 to 9 in which each sheet comprises an aperture in a location offset with respect to the location of the apertures in adjacent sheets.
16. A document as claimed in any one of claims 10 to 13 in which at least a part of an aperture is located along an edge of the document.
17. A method of making a fibrous substrate as claimed in any one of the preceding claims having an



elongate element partially embedded therein, comprising the steps of providing drainage restriction areas on a porous support surface, depositing fibres on to the porous support surface around the drainage restriction areas to form a first layer, bringing the elongate element to lie in contact with the drainage restriction areas of the support surface, and depositing further paper fibres over the first layer to securely embed segments of the elongate element within the substrate between the drainage restriction areas, said drainage restriction areas being such as to substantially prevent the deposition of fibres thereon before and after the elongate element is laid thereover and to thereby form at least one discrete aperture extending through the fibrous substrate, wherein a width of the elongate element is less than a maximum width of the aperture(s).

18. A method as claimed in claim 17 further comprising the step of forming at least one window in at least one surface of the substrate in which a portion of the elongate element, not including either of its edges, is exposed.

19. A substrate substantially as hereinbefore described with reference to and as shown in the accompanying drawings.

20. A method substantially as hereinbefore described with reference to and as shown in the accompanying drawings.

21. A document substantially as hereinbefore described with reference to and as shown in the accompanying drawings.

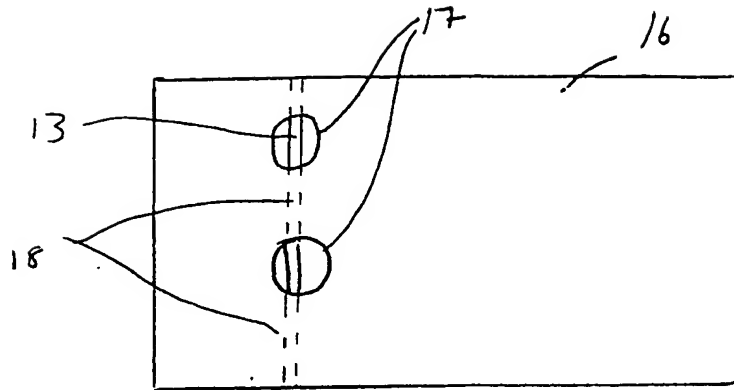


FIG. 1

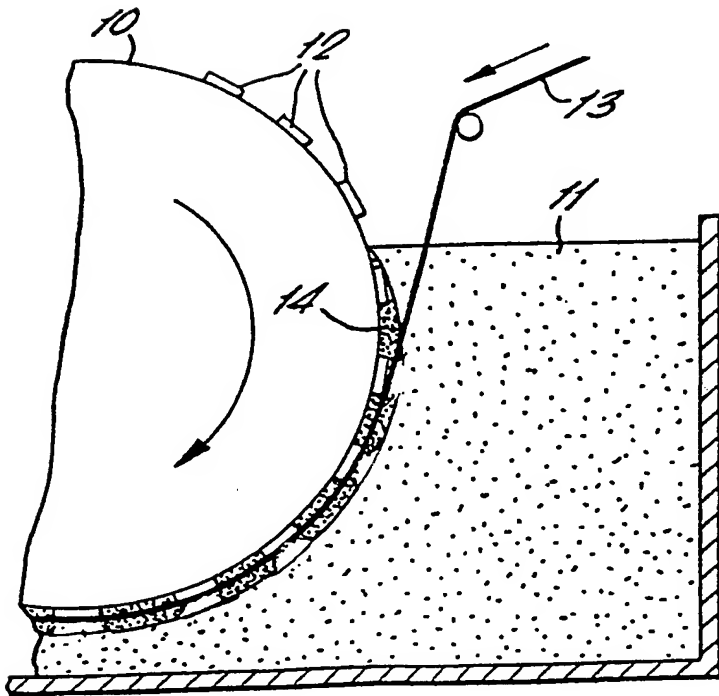


FIG. 2



FIG 3

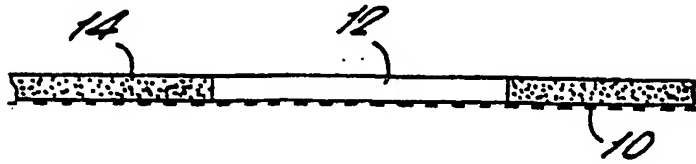


FIG 4

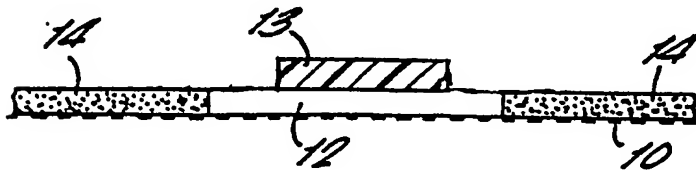


FIG 5

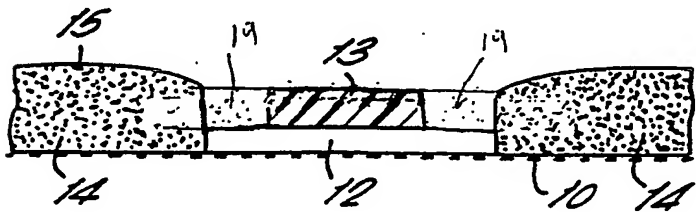


FIG 6

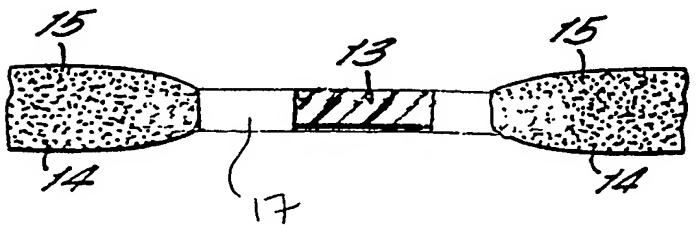


FIG 7

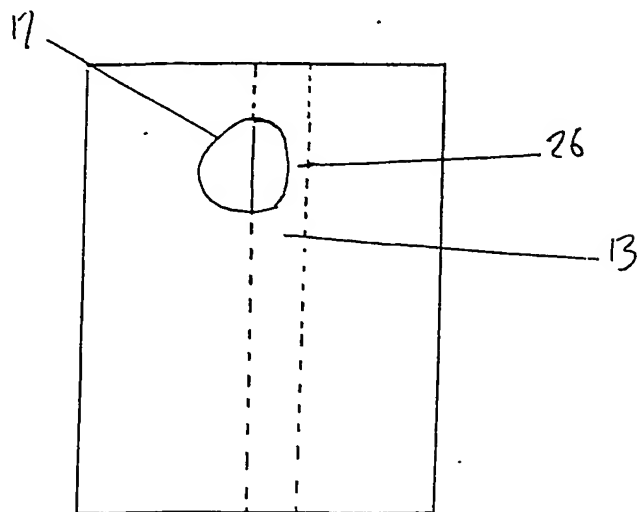


FIG 8

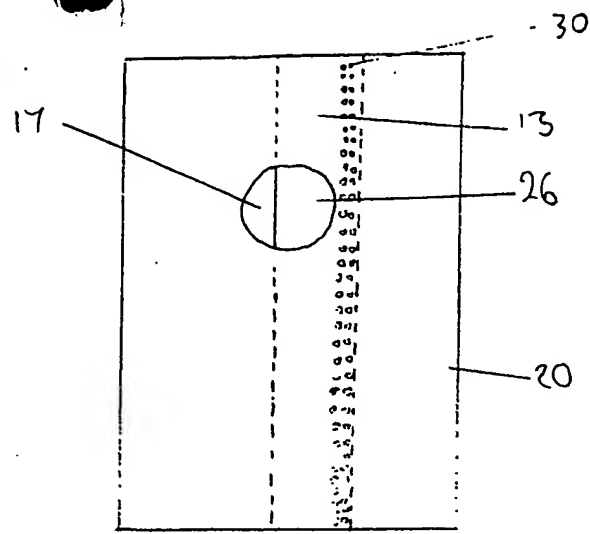


FIG 9

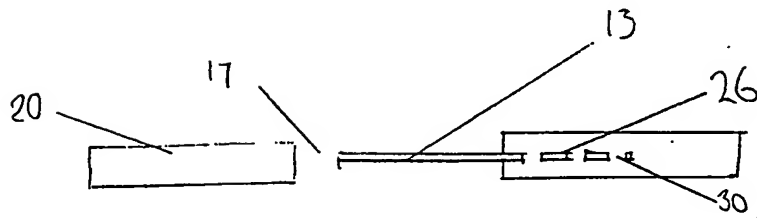


FIG 10

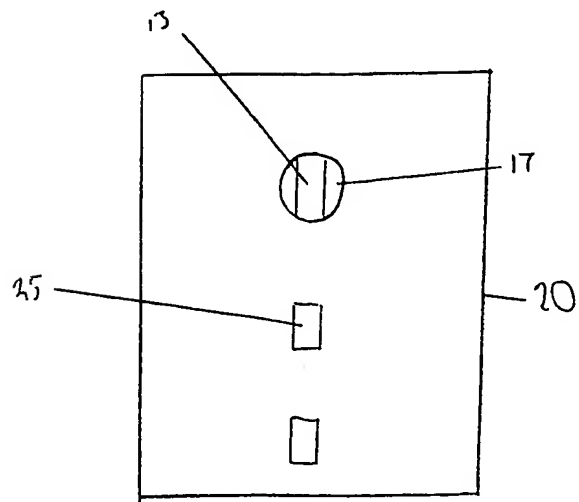


FIG 11

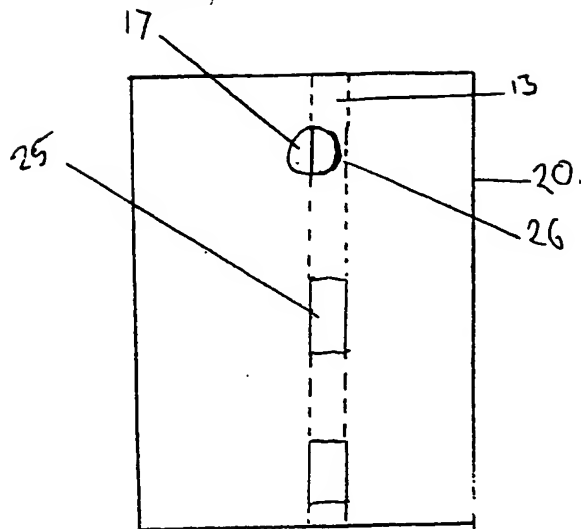


FIG 12

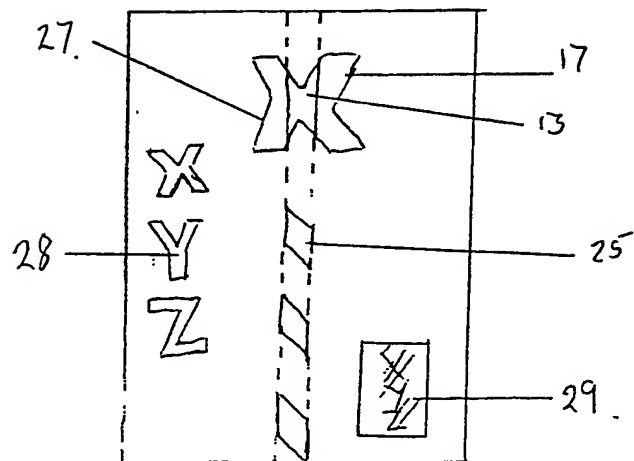


FIG 13

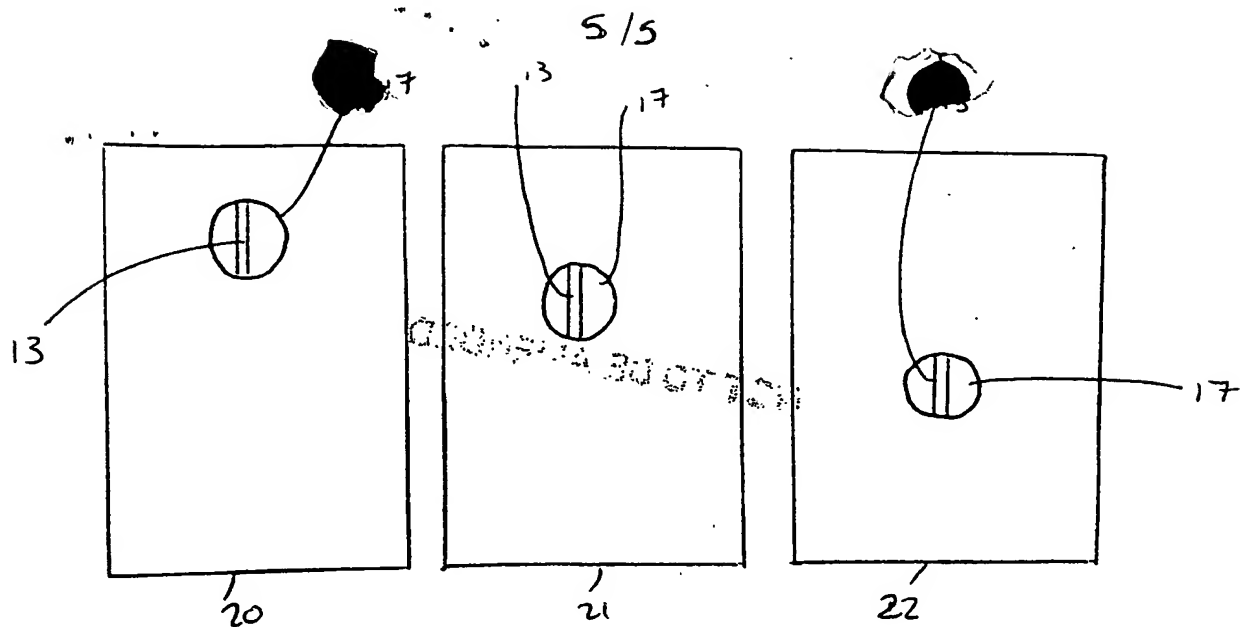


FIG 14

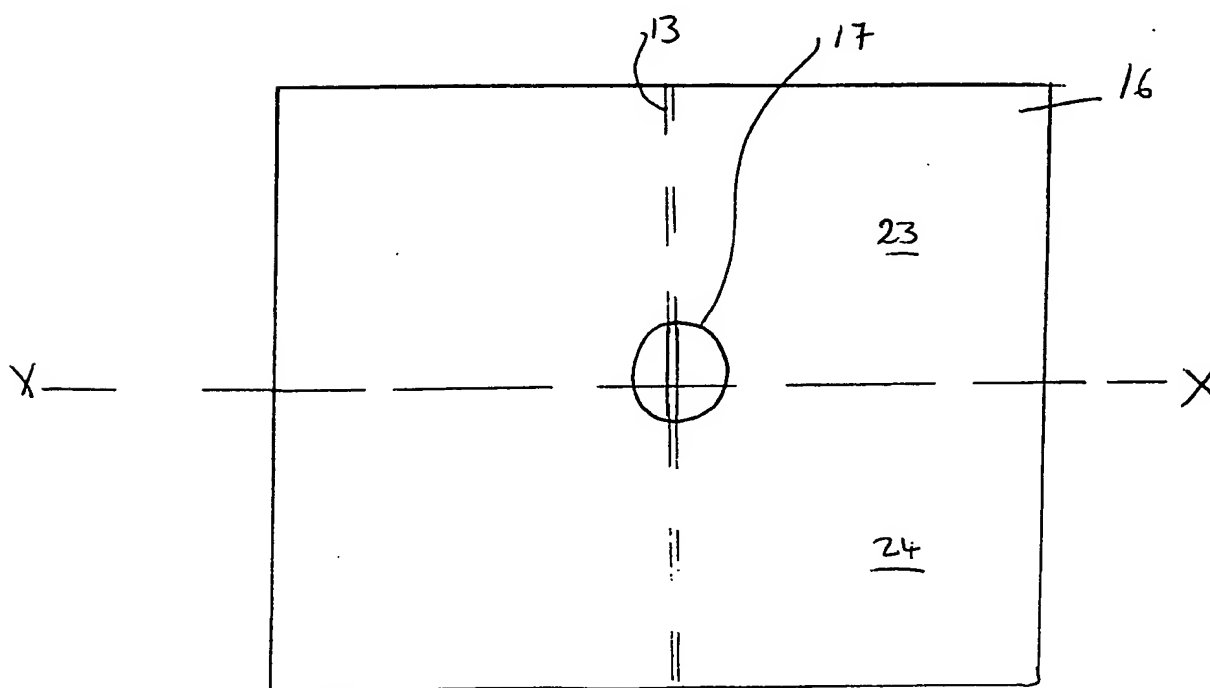


FIG. 15

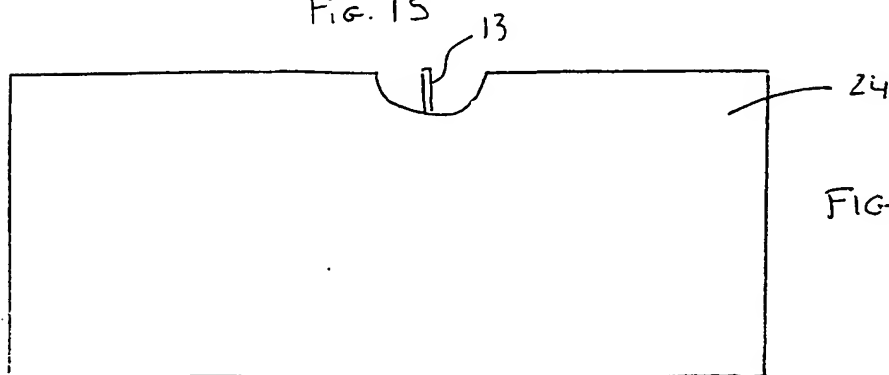


FIG 16